

Docket: 87286D1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|             |   |                 |                   |
|-------------|---|-----------------|-------------------|
| Applicant:  | Poole   | Group Art Unit: | To Be Assigned    |
| Serial No.: | 10/736,882  | Examiner:       | To Be Assigned    |
| For:        | THERMAL RIBBON CARTRIDGE<br>OR ROLL WITH SLACK RIBBON<br>RETRACTION | Filed:          | December 16, 2003 |

Confirmation No. To Be Assigned

## INFORMATION DISCLOSURE STATEMENT

- ☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1<sup>st</sup> Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**SIR:**

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- [X] 5 A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

**This paper is submitted in accordance with:**

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1<sup>st</sup> Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR § 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR 1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement fee (\$180.00) required by 37 CFR 1.17(i)(I) is authorized in item 14 below. (Direct "Attention PETITIONS EXAMINER" and if applicable include batch locator information "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and

b) The required Certification is stated in item 11 below.

☐ 11 Certification

☐ 12 Each item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or


☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 23-0920. An original and one (1) copy of this document are enclosed.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references or to whether the references are considered "analogous art." This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or 103. This Information Disclosure Statement shall not be construed as an admission that the statement or cited references are, or are considered to be, material to the patentability under 37 C.F.R. Section 1.97(h).

Respectfully submitted,

WELSH & KATZ, LTD.

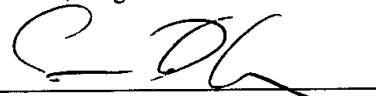
  
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January 16, 2004

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on this date:

January 16, 2004  
Date

  
Eric D. Cohen, Esq.  
Registration No. 38,110  
Attorney for Applicant

|  |  |   |          |                                  |       |                             |                            |
|--|--|---|----------|----------------------------------|-------|-----------------------------|----------------------------|
| Form PTO-1429<br>(Rev. 8-88)   |  | U.S. Department of Commerce<br>Patent and Trademark Office  |          | Attorney Docket No.<br>87286D1   |       | Serial No.<br>10/736,882    |                            |
| INFORMATION DISCLOSURE STATEMENT<br>(Use several sheets if necessary)  |  |   |          | Applicant<br>Poole               |       |                             |                            |
|  |  |   |          | Filing Date<br>December 16, 2003 |       | Group No.<br>To Be Assigned |                            |
| U.S. PATENT DOCUMENTS  |  |   |          |                                  |       |                             |                            |
| Examiner Initial*  |  | Document Number   | Date     | Name                             | Class | Subclass                    | Filing Date If Appropriate |
|  |  | Re.36,953   | 11-14-00 | Lodwig et al.                    |       |                             |                            |
|  |  | 4,773,775   | 09/27/88 | Bradshaw, et al.                 |       |                             |                            |
|  |  | 4,838,716   | 06/13/89 | Shinada                          |       |                             |                            |
|  |  | 4,886,384   | 12/12/89 | Harry                            |       |                             |                            |
|  |  | 5,170,956   | 12-15-92 | McTaggart                        |       |                             |                            |
|  |  | 5,284,396   | 02/08/94 | Masumura et al.                  |       |                             |                            |
|  |  | 5,297,879   | 03/29/94 | Oikawa                           |       |                             |                            |
|  |  | 5,443,319   | 08-22-95 | Sugiura et al.                   |       |                             |                            |
|  |  | 5,595,447   | 01/21/97 | Takayama et al.                  |       |                             |                            |
|  |  | 5,772,341   | 06-30-98 | Hamisch, Jr. et al.              |       |                             |                            |
|  |  | 5,788,387   | 08/04/98 | Takayama et al.                  |       |                             |                            |
|  |  | 5,938,350   | 08-17-99 | Colonel                          |       |                             |                            |
|  |  | 6,126,344   | 10/03/00 | Takayama et al.                  |       |                             |                            |
|  |  | 6,129,463   | 10/10/00 | Lau et al.                       |       |                             |                            |
|  |  | 6,130,699   | 10/10/00 | Christensen et al.               |       |                             |                            |
|  |  | 6,142,686   | 11-07-00 | Schanke et al.                   |       |                             |                            |
|  |  | 6,231,253 B1  | 05/15/01 | Henderson et al.                 |       |                             |                            |
|  |  | 6,307,583 B1  | 10/23/01 | Randolph et al.                  |       |                             |                            |
| FOREIGN PATENT DOCUMENTS   |  |   |          |                                  |       |                             |                            |
|  |  | Document Number   | Date     | Country                          | Class | Subclass                    | Translation<br>Yes No      |
|  |  | 0 165 396 A2  | 27/12/85 | EPO                              |       |                             | X                          |
|  |  | 0 408 356 B1  | 22/06/94 | EPO                              |       |                             | X                          |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)   |  |   |          |                                  |       |                             |                            |
|  |  | Internet Solutions for Web Designers and Builders Professional - Structural XML Documents<br>By Richard V. Dragan, Article from Website <a href="http://www.pcmag.com">http://www.pcmag.com</a> |          |                                  |       |                             |                            |
| Examiner   |  |   |          | Date Considered                  |       |                             |                            |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |  |   |          |                                  |       |                             |                            |

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Poole

Docket No.

87286D1

Serial No.

10/736,882

JAN 20 2004

Filing Date

December 16, 2003

Examiner

To Be Assigned

Group Art Unit

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Invention: THERMAL RIBBON CARTRIDGE OR ROLL WITH SLACK RIBBON RETRACTION

I hereby certify that this **Information Disclosure Statement**

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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(Date)

Eric D. Cohen, Reg. No. 38,110

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

Information Disclosure Statement; Form PTO 1449; Eighteen (18) United States References; Two (2) Foreign References; One (1) Other Reference; and Postcard.